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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that this paper or fee is being deposited with the United States Postal Service as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

M. Kim Richardson

Printed or Typed Name of the Person Signing the Certificate

Signature

Date of Signature

Re: Fin

First Named Inventor:

BORGENS, Richard B.

Invention:

METHOD OF TREATMENT FOR CENTRAL

**NERVOUS SYSTEM INJURY** 

Serial No.:

10/748,572

Filed:

December 30, 2003

Our File No.:

P01254-US-01 (19232.0011)

### REQUEST FOR CORRECTION OF INVENTORSHIP

## Dear Sir/Madam:

Applicants request correction of inventorship to add Scott A. Shapiro as an inventor. The correct inventorship should be Richard B. Borgens and Scott A. Shapiro. Pursuant to 37 C.F.R. § 1.48 (1), this request is accompanied by:

- (a) A statement from Scott A. Shapiro indicating that the error in inventorship occurred without deceptive intent on his part,
  - (b) A declaration signed by Richard B. Borgens and Scott A. Shapiro (in two parts),

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Commissioner for Patents August 30, 2005 Page 2

- (c) A check in the amount of \$130,
- (d) Written consent of the assignee, Purdue Research Foundation, and
- (e) Return postcard.

In the event Applicants have inadvertently overlooked the need for payment of any additional fees, Applicants conditionally petition therefor, and authorize any deficiency to be charged to deposit account 09-0007. In the event the deposit account needs to be charged, it is requested that the number P01254-US-01 (19232.0011) be referenced.

If you have any questions regarding this correspondence, please feel free to contact the undersigned.

Respectfully submitted,

ICE MILLER

Thomas A. Walsh, Reg. No. 45,196

ICE MILLER

One American Square, Box 82001 Indianapolis, Indiana 46282-0200

(

(317) 236-2100 – Telephone

(317) 236-2219 – Facsimile

TAW:mkr Enclosures



PTO/SB/01 (03-01)(Amended by Customer pursuant to MPEP § 601.02)
Non-Amended Version Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)			ber	P001254-US-01 Borgens		
			COMPLETE IF KNOWN			
Į.	Declaration	Application Number		10/748,572		
Declaration Submitted OR With Initial Filing OR Submitted after Initial Filing (37 CFR 1.16(e)) required)	Filing Date	Dece	ecember 30, 2003			
	• • •	Group Art Unit				
	` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` ` `	Examiner Name				
	TILITY OR I TENT APPLI (37 CFR 1	TTILITY OR DESIGN TENT APPLICATION (37 CFR 1.63)  Declaration OR Submitted after Initial Filing (surcharge (37 CFR 1.16(e))	TILITY OR DESIGN TENT APPLICATION (37 CFR 1.63)  Declaration OR Submitted after Initial Filing (surcharge (37 CFR 1.16(e))  Attorney Docket Number First Named Inventor  COM Application Number Filing Date Group Art Unit	TILITY OR DESIGN TENT APPLICATION (37 CFR 1.63)  Declaration OR Submitted after Initial Filing (surcharge (37 CFR 1.16(e))  Authory Docket Number  First Named Inventor  COMPLET  Application Number  Filing Date  Group Art Unit		

As a below named inventor, I he	reby declare that:							
My residence, mailing address, an	d citizenship are as	stated below next to my n	ame.					
I believe I am the original, first an names are listed below) of the sub								
,		or Central Nerv						
1,120000		OI COMMANDIA	<b>045</b>					
the specification of which		(Title of the Invention)						
is attached hereto								
OR		<del></del>						
was filed on (12/30/2003)		as Ur	nited States Applica	tion Number or PCT International				
				73				
Application Number 10/7	748,572 and	I was amended on (MM/D	D/YYYY)	(if applicable)				
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.								
	I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for							
continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.								
I hereby appoint the practitioners at Customer Number 22446, who are the attorney(s) or agent(s) of the assignee of my								
Office connected therewith.				ited States Patent and Trademark				
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's								
or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent,								
inventor's or plant breeder's rights on which priority is claimed.	certificate(s), or an	y PCT international appli	cation having a filir	ng date before that of the application				
Prior Foreign Application		Foreign Filing Date	Priority	Certified Copy Attached?				
Number(s)	Country	(MM/DD/YYYY)	Not Claimed	YES NO				
			#					
Additional foreign applicatio	n númbers are listed	l on a supplemental priori	ty data sheet PTO/S	BB/02B attached hereto:				

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTO/SB/01 (03-01)
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## **DECLARATION AND POWER OF ATTORNEY** Utility or Design Patent Application

Direct all correspondence to: Customer or Bar Coo	22446		OR 🖾 Cor	lence address below				
Name Jill T. Powlick					-0	= 8		
Address ICE MILLER, One American Square, Box 82001								
City Indianapolis	1		s	tate	IN		ZIP 46282-0200	
Country USA		Telepho	one <i>(</i> 31	7) 23(	6-5972		Fax (317) 236-2219	
Country USA Telephone (317) 236-5972 Fax (317) 236-2219  I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.								
NAME OF SOLE OR FIRST INVENTOR:	NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor							
Given Name (first and middle [if any]) Richard B. Family Name or Surname Borgens								
Inventor's Signature		<u> </u>				Date		
Residence: City Delphi	State	IN			Country US	Citizen	ship US	
Mailing Address 1953 S. 900 W.								
City Delphi	State	IN			ZIP 46923	Count	ry US	
NAME OF SECOND INVENTOR:	∏I A	petitio	n has be	en file	ed for this unsigne	d inven	tor	
ret and middle [if any]) Scott A. Family Name or Surname Shapiro					•			
Inventor's Signature	25				_	Da	··6/28/65	
Residence: City Indianapolis	Stat	e I	N	Co	ountry US	Citizer		
Mailing Address 8826 Kirkham Road								
City Indianapolis	G4 .		IN	7	n 46260	G	IIS	
City Indianapolis   State IN   ZIP 46260   Country US    Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.								

PTO/SB/01 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## DECLARATION AND POWER OF ATTORNEY Utility or Design Patent Application

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Livred all correspondence to:	tomer Number ar Code Label	224	46	OR 🏻 C	orrespond	ience address below		
Name Jill T. Powlick	···		~~~					
Address ICE MILLER, One American Squ	are, Box 82001		**	-				
City Indianapolis	***		State 1	Ŋ		Z1P 46282-0200		
Country USA			(317) 236-5			Fax (317) 236-2219		
I hereby declare that all statements made herein believed to be true; and further that these state punishable by fine or imprisonment, or both, to application or any patent issued thereon.	I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTO				for this unsign				
Given Name (first and middle  if any]) Richard B					Family Name or Surname Borgens			
Inventor's Signature	,				Date C	129/05		
Residence: City Delphi	State	IN	Co	antry US	Citizea	ship US		
Mailing Address 1953 S. 900 W.								
City <b>Delphi</b>	State	IN	Z.1.	r 46923	Count	ry US		
NAME OF SECOND INVENTOR:	□ A	petition has	been filed	for this unsign	ed invent	or		
Given Name (first and middle [if any]) Scott A.			ly Name Thanc	Shapiro	)			
Invegtor's Signature	7.200				Dat	ie _		
Residence: City Indianapolis	Stat	te IN	Coun	try US	Cittzen	ship US		
Mailing Address 8826 Kirkham Road								
City Indianapolis	Stat			46260	Countr			
Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A anached hereto.								

# SEP 0 2 LINUTED STATES DATENT AND TO A DEMARK OFFICE

Re:

Invention:

METHOD OF TREATMENT FOR CENTRAL NERVOUS

SYSTEM INJURY

Inventors:

BORGENS, Richard B. and SHAPIRO, Scott A.

Filed:

December 30, 2003

Serial No.:

10/748,752

Our File No.:

P01254-US-1

## **STATEMENT UNDER 37 C.F.R. § 1.48(a)(2)**

I, the undersigned, hereby declare that the omission of my name as an inventor on the above-referenced patent application as originally filed occurred without deceptive intent on my part. I am signing, along with this document, a declaration for the above-referenced patent application. It is my understanding that each of the inventors listed above are the correct inventors for the above-referenced patent application.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re:

Application of:

BORGENS, Richard B., et al.

Serial No.:

10/748,572

Filed:

December 30, 2003

For:

METHOD OF TREATMENT FOR CENTRAL

**NERVOUS SYSTEM INJURY** 

Our File No.:

P01254-US-01 (19232.0011)

## ASSENT OF ASSIGNEE TO CORRECTION AND/OR ADDITION TO ORIGINALLY NAMED INVENTORS

- 1. An Assignment of Invention for the above-referenced patent application for Richard B. Borgens, the named inventor, was recorded on June 21, 2004, Reel 014758, Frame 0770.
- 2. The Assignee, Purdue Research Foundation (an Indiana corporation), 3000 Kent Avenue, West Lafayette, Indiana 47906, assents to the correction of inventorship filed herewith.
- 3. Assignee Certification

In accordance with 37 C.F.R. § 3.73, the Assignee hereby certifies that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of Assignee's knowledge and belief, title is in the Assignee seeking to take this action.

As a person signing below, I hereby declare that I am authorized to sign on behalf of the Assignee; that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Purdue Research Foundation			
By: Sruce Lesting	Date: _	August 24,	2005
Name: Bruce L. Pershing			
Title: Investment Officer and Corporate	Secretary		